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I hereby certify that, on the date indicated above, this paper or
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PATENT TRADEMARK OFFICE

Docket No.: 3322/OH401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joanne M. MEYER; Rory BARRINGTON-MARTIN; Alexander PARKER;
Glenn T. BARNES

Serial No.: 09/770,107

Filed: January 24, 2001

For: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND
TREATMENT OF NEUROPSYCHIATRIC DISORDERS, INCLUDING
SCHIZOPHRENIA

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

In order to comply with the duty to disclose information under 37

C.F.R. § 1.56, Applicants submit herewith a Supplemental Information Disclosure

Statement in accordance with 37 CFR §§ 1.97 and 1.98. In particular, Applicants

submit herewith: (1) a Form PTO-1149 listing references for consideration by the

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Examiner; and (2) copies of references 1-3 cited therein.

All of these documents were either 1) not cited in a foreign counterpart of this application and not known for more than three months, or 2) were first cited in a foreign counterpart of this application not more than three months ago.

The undersigned is also enclosing herewith a copy of a Search Report issued July 22, 2002 for the PCT counterpart of the present patent application (Application No. PCT/US02/02186), in which the presently disclosed references were cited.

It is believed that no fee is required for this submission as this Supplemental Information Disclosure Statement is timely submitted in accordance with 37 C.F.R. §1.97(c)(1) and 37 C.F.R. §1.97(e). However, should the U.S. Patent and Trademark Office determine that any fee is required or that any refund is due for this application, the Commissioner is authorized and requested to change any required fee(s) and/or credit any refund(s) due to Deposit Account No. 04-0100.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicants' specification which is not repeated on the attached Form PTO-1449) be given thorough consideration by the Examiner and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently

pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.


The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: August 21, 2002

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